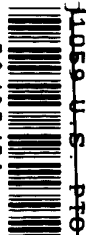


08/30/01



# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket: 2000-2344

First Inventor: Gregory R. Ziegler

Title: Coating Foods and Pharmaceuticals  
with an Edible Polymer Using Carbon  
DioxideJCE97 U.S. PTO  
09/941794

08/30/01

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
2. ☒ Applicant claims small entity status (37 CFR 1.27)
3. ☒ Specification Total Pages 18
  - Title page 01 page
  - Specification 11 pages
  - Claims (1-32) 05 pages
  - Abstract 01 page
4. ☒ Drawings (Figures 1-2) Total Sheets 2
5. ☒ Oath or Declaration Total Sheets 2
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from prior application (37 CFR 1.63(d))
  - i. ☐ DELETION OF INVENTORS  
Signed Statement attached deleting inventor(s) named in the prior application (37 CFR 1.63(d)(2) and 1.33(b))
6. ☐ Application Data Sheet
7. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
  - a. ☐ Computer Readable Form (CFR)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper
  - c. ☐ Statements verifying identify of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & documents)
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
11. ☐ English Translation Document (*if applicable*)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
  - ☐ Copies of IDS citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
15. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/3 or its equivalent
17. ☐ Other \_\_\_\_\_
- 17a. ☐ Other \_\_\_\_\_
- 17b. ☐ Other \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional. ☐ Continuation-in-part (CIP) of prior application serial no. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit : \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

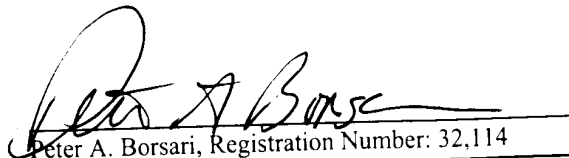
## 19. CORRESPONDENCE ADDRESS

Direct all correspondence to:

Anthony J. De Laurentis  
2001 Jefferson Davis Highway  
Arlington, Virginia 22202  
Telephone: 703 415 1077

Facsimile: 703 415 1079

SIGNATURE:

  
Peter A. Borsari, Registration Number: 32,114

Date: August 30, 2001

# **FEE CALCULATION & TRANSMITTAL SHEET**

**TOTAL AMOUNT OF PAYMENT: \$ 543.00**

**Attorney Docket:** 2000-2344

**First Inventor:** Gregory R. Ziegler

**Title:** Coating Foods and Pharmaceuticals  
with an Edible Polymer Using Carbon  
Dioxide

## **METHOD OF PAYMENT**

- ☒ Payment Enclosed: ☒ Check # 4220
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to:

Deposit Account Number: 54-1014  
Deposit Account Name: Borsari & Associates

## **FEE CALCULATION**

### **1. BASIC FILING FEE**

<u>FEE DESCRIPTION</u>	<u>FEE PAID</u>
Utility filing fee	\$355.00
Design filing fee	
Plant filing fee	
Reissue filing fee	
Provisional filing fee	
<b>SUBTOTAL (1)</b>	<b>\$355.00</b>

### **2. EXTRA CLAIM FEES**

	<u>Extra</u>	<u>Fee</u>	<u>Fee Paid</u>
	<u>Claims</u>		
Total Claims <u>32</u> - 20 = <u>12</u> x \$ 9.00 = \$ 108.00			
Independent <u>05</u> - 03 = <u>02</u> x \$40.00 = \$ 80.00			
Claims			
<b>SUBTOTAL (2)</b>			<b>\$ 188.00</b>

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

<u>Fee Description</u>	<u>Fee Paid</u>
<input type="checkbox"/> Surcharge - late filing fee or oath	_____
<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	_____
<input type="checkbox"/> Non-English specification	_____
<input type="checkbox"/> For filing a request for <i>ex parte</i> reexamination	_____
<input type="checkbox"/> Requesting publication of SIR prior to Examiner action	_____
<input type="checkbox"/> Extension for reply within first month	_____
<input type="checkbox"/> Extension for reply within second month	_____
<input type="checkbox"/> Extension for reply within third month	_____
<input type="checkbox"/> Extension for reply within fourth month	_____
<input type="checkbox"/> Notice of Appeal	_____
<input type="checkbox"/> Filing a brief in support of an appeal	_____
<input type="checkbox"/> Request for oral hearing	_____
<input type="checkbox"/> Petition to Revive - unavoidable	_____
<input type="checkbox"/> Petition to Revive - unintentional	_____
<input type="checkbox"/> Petitions to Commissioner	_____
<input type="checkbox"/> Processing fee under 37 CFR 1.17q	_____
<input type="checkbox"/> Submission of Information Disclosure Stmt	_____
<input type="checkbox"/> Assignment Recordation Fee	_____
<input type="checkbox"/> Request for Continued Examination	_____
<b>SUBTOTAL (3)</b>	<b>\$ 00.00</b>

SUBMITTED BY:

SIGNATURE:

  
Peter A. Borsari, Registration Number: 32,114

Date: August 30, 2001